

## **Group Compliance Manager**

**West London - Up to £80,000 + pension, DIS and PMI and discretionary bonus.**

**Ref: 4740**

My client provides a comprehensive financial planning and discretionary management service to clients. They offer investment advice, pension and protection planning to both corporate and individual clients as well as a discretionary investment management service where they manage investments for clients.

The company is seeking an experienced Compliance Manager reporting directly to the compliance officer/Board where you will have overall responsibility for the compliance regime.

This will include acting as the Group's Money Laundering Reporting Officer with the preparation of relevant management information in order that the Board can appropriately identify risks to the business. You will be responsible for the preparation of the ICAAP and monitoring of financial resources, and a programme to monitor the Group's adherence to the FCA rule book and guidance along with other regulatory matters.

To qualify you must have a strong background of compliance working for a wealth management firm and good working knowledge of a retail IFA's products, (this is to include Pensions, Investments & Protection plans) and the construction of suitability reports. You will need to be an experienced yet pragmatic & diplomatic individual in your approach with the company's staff, and create a positive working relationship.

## **Discretionary Portfolio Manager**

**Surrey/Hampshire border - Competitive basic plus bonus and benefits**

**Ref: 4742**

This is a fantastic opportunity for a highly motivated and talented individual who wants to work in a challenging and rewarding environment. As lead portfolio manager you will be responsible for the maintenance and development of this company's investment strategy, model portfolios, strategic and tactical allocation, performance attribution and client investment bulletins.

As such, you will have a strong investment and academic background, and will be experienced in managing private client and trustee multi-asset portfolios, in a risk-controlled manner. You should also have experience of incorporating exchange-traded products and investment trusts within portfolios, and knowledge of offshore investment is preferable.

You will need to be level 6 qualified, with current SPS and have the CISI PCIAM, or equivalent discretionary investment qualification. You should have a strong understanding and proven ability of multi-asset class portfolio construction, analysis and risk analytics. You should have strong knowledge of funds, sectors and the UK/Global economy with good analytical skills; including identifying trends, issues and investment opportunities/risks. You must also have experience of working with HNW clients and a demonstrable track record of success.

A highly competitive financial package is offered with a salary reflecting experience and ability.

